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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1935, no persons Application Number 10/679,232 TRANSMI7 Filing Date 10/03/2003 First Named Inventor **FORM** Knut H. Henriksen Art Unit 3672 **Examiner Name** unknown (to be used for all corresponde Total Number of Pages in This Submission Plane Attorney Docket Number D5407-214 **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Acknowledgment Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Duane Morris LLP Signature Printed name Gary R. Maze Date Reg. No. 02/28/2005 42.851 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tracie Thigpen

Typed or printed name

Date

02/28/2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Knut H. Henriksen

Serial No.:

10/679,232

Filing Date:

10/03/2003

Title:

Mud Flow Back Valve

Examiner:

Unknown

Group Art Unit:

3672

Attorney Docket No.: D5407-214

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL AND INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF FINAL ACTION

- 1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application but before the mailing date of the First Office Action.
- 2. Certification for Information Disclosure Statement.

This certification is being made for this Information Disclosure Statement.

I, Gary R. Maze, certify each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

The person making this certification is the attorney who signs below on the basis of the information supplied by the inventors.

3. Information Disclosure Statement. (37 C.F.R. 1.98 (a)).

> In compliance with the information disclosure statement requirements of 37 C.F.R. 1.97, Applicant hereby submits the references listed in the attached form PTO-1449.

Respectfully submitted,

February 28, 2005

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## **CERTIFICATE OF MAILING 37 CFR 1.8(a)**

I hereby certify that a copy of this document along with any referred to as attached or enclosed is being deposited with the United States Postal Service as First Class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, February 28, 2005.

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PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Under the Parawork Reduction
Substitute for form 1449/7

Complete if Known Application Number 10/679,232 Filing Date 10/03/2003 First Named Inventor Knut H. Henriksen Art Unit 3672 Examiner Name unknown

(Use as many sheets as necessary)

Sheet 1 Attorney Docket Number D5407-214 **U. S. PATENT DOCUMENTS** Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where

Initials*	No.¹	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 2002/0174981 A1	11/28/2002	Den Boer et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	1,,		
_		PCT/US00/02420	01/28/2000	Schlumberger		
		PCT/GB02/00362	01/28/2002	e2 Tech Limited		Γ
		PCT/GB02/05933	12/27/2002	Weatherford/Lam		Γ
		PCT/EP03/010004	09/05/2003	Shell Internati		
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Examiner Signature		 Date Considered	<u> </u>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.